

## REQUEST FOR QUOTE (RFQ IRVI 23 - 03)

|                            |                                 |
|----------------------------|---------------------------------|
| <b>Solicitation Name</b>   | Laundry room boiler replacement |
| <b>Solicitation Number</b> | IRVI 23-03                      |

**Job Summary** – Laundry room boiler replacement for Irvinton Village located at 2901 Fulton, Houston, TX 77009. (See the Scope of Work for additional details)

**Profile** – J-Allen Management is an authorized agent of the Houston Housing Authority (HHA) designated to provide property management services for their affordable housing portfolio. One of our major responsibilities is to procure goods and services on behalf of the HHA.

**Due Date** - Interested parties who wish to respond to the solicitation must submit the required documents to the individual listed below by 4:00 PM CST on 7/10/2023.

James Taylor  
RE: IRVI 23- 03  
3118 Green Street  
Houston, Texas 77020

Electronic bids will also be accepted if sent to [James@Jallenmgmt.com](mailto:James@Jallenmgmt.com), bids should have the solicitation number as the subject line.

**Solicitation Schedule** – The anticipated procurement schedule for this solicitation is as follows:

| Event                       | Date      |
|-----------------------------|-----------|
| Date Solicitation Issued    | 6/20/2023 |
| Final Questions due by      | 6/27/23   |
| Deadline for Receipt of Bid | 7/10/2023 |

**Submittals** - All interested parties are required to include the following documents in their bid submission:

1. Cover Letter
2. Declaration
3. Non-Collusive Affidavit
4. M/WBE Participation
5. Section 3 Requirement & Commitment
6. Conflict of Interest
7. General Contract Conditions for Small Construction/Development (with maintenance work) (HUD 5370-EZ)
8. Davis Bacon Acknowledgment
9. Davis Bacon Period Payroll Sheet

10. Fee Schedule

11. Three Business References

12. Copy of a current General Liability with minimum of \$1,000,000 coverage

13. Vendor Profile (for bidders who are NOT currently listed in HHA's Vendor List)

Interested bidders who have questions about this solicitation, or who need additional information should send an email to [James@Jallenmgmt.com](mailto:James@Jallenmgmt.com) with the RFQ Number in the subject line; by the due date of 6/27/2022.

Any changes to the requirement specified herein in this invitation will be issued by J Allen Management, via an Amendment. All amendments shall be posted at [Bid Contracts – J. Allen Management Co., Inc.](#) It is the responsibility of all interested parties to monitor the website to determine if any amendments were issues prior to the submission of their bids.

Included in this solicitation:

- Scope of Work
- Copies of forms that must be included in the Submittal.

Forms should be complete in full and included in the submission of the bid. Bids that are submitted lacking information will be deemed non-responsive and ineligible for any further consideration.

# SCOPE OF WORK

## GENERAL CONDITIONS

1. All schedules will be coordinated with staff to inform residents so that onsite management can (give written notice) of work 48 hours in advance.
2. All debris will be put immediately into refuse containers for proper disposal according to code and not temporarily left in parking lot or on grass areas. (provided by contractor)
3. Work to be done in timely fashion and coordinated with the Property Management office Monday thru Friday 8-5 p.m.

## EXISTING CONDITIONS

1. Examination of the Site and Existing Facilities: Examine the Site and existing facilities and become familiar with local conditions under which the Work is to be performed and correlate personal observations with the requirements of the Contract Documents. Failure by Contractor to have acquainted himself with available information concerning Site conditions, including factors affecting costs and liabilities, shall not relieve Contractor of his responsibility for performance of Work in accordance with the Contract Documents. If any items are found outside of the scope and description of work, please note in bid or RFI.
2. Protection of Existing Facilities and Services: Unless otherwise required, water, gas, lighting, power and telephone conduits and wires, sewer lines, streets, curbs, driveway approaches, buildings and other surface and subsurface structures and lines and similar items, shall be protected from disturbance, damage and disconnection by Contractor during progress of Work. Should Contractor in performance of Work disturb, disconnect or damage any of the above items, remove, repair, or replace such disconnected or damaged items with materials and construction matching existing undamaged work and restore to a condition as good as or better than existed prior to such disturbance, disconnection or damage at no additional expense to Owner.

## QUALITY ASSURANCE

1. Use adequate numbers of skilled workmen who are thoroughly trained and experienced in the necessary crafts and who are completely familiar with the specified requirements and the methods needed for the proper performance of the work of this Section.
2. Perform this work in accordance with the pertinent regulations, standards and codes of governmental agencies having jurisdiction where such requirements are more stringent than specified herein. Obtain any all permits that are required for performing such work.

3. Supply safety equipment and supervision required to comply with applicable laws. Obtain required inspections. Pay all required licenses, fees, taxes and permits prior to beginning of work. If applicable, provide and pay for the cost of installing permanent electrical meter or meters as required.
  
4. Without additional cost to the Owner, provide such other labor and materials as are required to complete the work of this Section in accordance with the requirements of governmental agencies having jurisdiction, regardless of whether such materials and associated labor are specifically indicated in these Contract Documents.

## **Scope of Work (SOW)**

### **DESCRIPTION OF WORK**

- Turn off water to boiler.
- Disconnect and remove existing PVI water heater.
- Supply and install new RHEEM GHE 100 SU 200 energy efficient condensing water heater or comparable model to attached specs in exhibit A.
- Re-connect new heater to existing piping with code approved fittings.
- Connect to existing vent pipe.
- Supply and install new CO2 safety shut off per state code.
- Bring heater online and set temperature.
- Clean-up work area and haul off old equipment and debris.

LIST OF  
REQUIRED  
SUBMITTALS  
FROM  
BIDDERS

**HOUSTON HOUSING AUTHORITY  
NOTICE TO OFFERORS/BIDDERS/CONTRACTORS  
COVER SHEET**

The required documents for this solicitation are being submitted for review and consideration:

|   |                |
|---|----------------|
| Name of Solicitation:                         |                |
| Name of Interested Firm/Business/Corporation: |                |
| Contact Person:                               |                |
| Contact Person Title:                         |                |
| Firm/Business/Corporation Address:            |                |
| Telephone Number:                             | Email Address: |

Check List for Submittals:

- ◇ Cover Letter
- ◇ Declaration
- ◇ Non-Collusive Affidavit
- ◇ M/WBE Participation
- ◇ Section 3 Requirement & Commitment
- ◇ Conflict of Interest
- ◇ General Contract Conditions for Small Construction/Development (with maintenance work) (HUD 5370-EZ)
- ◇ Davis Bacon Acknowledgment
- ◇ Davis Bacon Period Payroll Sheet
- ◇ Evidence of a minimum of \$1,000,000 of general liability insurance coverage
- ◇ Fee Schedule
- ◇ Three Business References
- ◇ Vendor Profile (*for bidders who are NOT currently listed in HHA's Vendor List*)

If you have chosen not to submit a bid, please e-mail this completed form to James@Jallenmgmt.com. Let us know why you are not bidding. (Check all that apply).

- Busy with other commitments
- Specifications are unclear or too restrictive.
- Bonding/Insurance requirements are prohibitive.
- Other (Please specify)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

**ATTACHMENT A DECLARATION**

The undersigned declares the following:

- This response is being submitted in good faith, and without collusion or fraud
- The only person(s) interested in the aforementioned solicitation is listed below, and that this response is being submitted without connection or arrangement with any other person
- They have complied with the requirements of the aforementioned solicitation, have read all addenda (if any), and is satisfied that they fully understand the intent of the aforementioned solicitation, along with the terms and conditions that will govern any award issued by **Houston Housing Authority** as a result of this solicitation
- They agree to execute an agreement with **Houston Housing Authority** based on the latter accepting the submittals required by the aforementioned solicitation

Persons Interested in this Response:

Name

Identity of Interest

- 1.
- 2.
- 3.

\_\_\_\_\_  
**NAME OF CONTRACTOR/OFFEROR/FIRM/INDIVIDUAL/CORPORATION**

\_\_\_\_\_  
**SIGNATURE**

\_\_\_\_\_  
**TITLE**

\_\_\_\_\_  
**E-MAIL ADDRESS**

\_\_\_\_\_  
**PHONE NUMBER / FAX NUMBER**

\_\_\_\_\_  
**ADDRESS, CITY, STATE, ZIP**

\_\_\_\_\_  
**SUBMITTAL DATE**

**Note: This form must have a manual signature.**

**ATTACHMENT H**

**NON-COLLUSIVEAFFIDAVIT**

STATE OF TEXAS

COUNTY OF HARRIS

- - - - - ' being first duly sworn, deposes and says that he is

\_\_\_\_\_  
(a partner or officer of the firm of, etc.)

the party making the foregoing proposal or bid, that such proposal or bid is genuine and not collusive or sham; that said bidder has not colluded, conspired, connived or agreed, directly or indirectly, with any manner, directly or indirectly, sought by agreement or collusion, or communication or conference with any person to fix the bid price or affiant or of any other bidder, or to fix any overhead, profit, or cost element of said bid price, or of that of any other bidder, or to secure any advantage against

THE HOUSTON HOUSING AUTHORITY

of any person interested in the proposed contract; and that all statements in said proposal or bid are true.

\_\_\_\_\_  
Signature of Bidder, if Bidder is an Individual

\_\_\_\_\_  
Signature of Bidder, if Bidder is a Partnership

\_\_\_\_\_  
Signature of Officer, if Bidder is a Corporation

Subscribed and sworn to before me this \_\_\_\_ day of \_\_\_\_\_, 2023

**Notary Public** \_\_\_\_\_

My Commission expires \_\_\_\_\_



## M/WBE PARTICIPATION FORM

**M/WBE PARTICIPATION:** The Contractor agrees to make its best efforts to expend at least 30% of the total dollar amount of the Contract on minority business enterprises (MBE-an entity with at least 51% ownership interest by a minority in the business) or women business enterprises (WBE-an entity with at least 51% ownership interest by a woman in the business). Official recognized designations where a prerequisite meets the standards defined above will be considered including Historically Underutilized Business (HUB) designated by the State of Texas, or any other federal, state, or local designation used to promote disadvantaged, minority, and women owned business. If an official designation is not held, an entity may self certify to its M/WBE status. This requirement is for all construction, professional services, and supplies contracts.

**M/WBE GOALS:** For Contracts awarded to an MBE, the Contractor will make its best efforts to subcontract at least 15% of the total Contract dollar amount to WBE(s). For Contracts awarded to a WBE, the Contractor will make its best efforts to subcontract at least 15% of the total Contract dollar amount to MBE(s). For Contracts awarded to non-M/WBE, the Contractor will make its best efforts to subcontract at least 15% of the total Contract dollar amount to MBE(s) and at least 15% of the total Contract dollar amount to WBE(s).

**USING BEST EFFORTS TO FULFILL M/WBE REQUIREMENT:** Prior to award of Contract, the Contractor will submit documents in support of its best efforts to achieve the above stated M/WBE participation and M/WBE goals. Best efforts may be established by a showing that the Contractor has contacted and solicited bids/quotes from M/WBE or Texas HUB subcontractors which can be accessed from database located at <http://www.window.state.tx.us/procurement/cmb1/cmb1hub.html>. The Housing Authority may assist the Contractors in identifying M/WBEs. However, seeking the Housing Authority's assistance shall not be construed as the Contractor's sole effort. In the event the Houston Housing Authority has a reasonable belief that Contractor has not used its best efforts to meet the stated M/WBE goals, the Houston Housing Authority reserves the right not to award the Contract to that Contractor.

**FAILURE TO USE BEST EFFORTS TO COMPLY WITH HOUSTON HOUSING AUTHORITY M/WBE GOALS DURING THE PROGRESS OF WORK MAY CONSTITUTE BREACH OF CONTRACT AND SUBJECT THE CONTRACT TO TERMINATION.**

**NOTIFICATION OF M/WBE PARTICIPATION:** Contractor agrees to promptly complete and return all M/WBE Confirmation of Participation and M/WBE Confirmation of Payment forms utilized by Houston Housing Authority to confirm M/WBE participation. Contractor agrees to submit proof of payment made to each M/WBE subcontractor by submitting copies of checks made payable to the respective M/WBE subcontractor signed by the Contractor.

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NAME OF FIRM

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SIGNATURE & DATE

**BIDDER'S PROPOSED M/WBE PARTICIPATION FORM**

Please list below the name of all firmly committed contractors and subcontractors that will work on the project, their M/WBE status, official recognized/self-certified M/WBE designation, the dollar amount and the percent of the total contract amount that will be performed by the entity.

| <b>Name of (Sub)Contractor</b>                       | <b>MBE Status</b> | <b>MBE Certifications</b> | <b>Dollar Amount</b> | <b>Percent of Contract Amount</b> |
|--|-------------------|---------------------------|----------------------|-----------------------------------|
|  |                   |                           |                      |                                   |
|  |                   |                           |                      |                                   |
|  |                   |                           |                      |                                   |
|  |                   |                           |                      |                                   |
| <b><i>Total MBE Dollar Amount and Percentage</i></b> |                   |                           |                      |                                   |
| <b>Name of (Sub) Contractor</b>                      | <b>WBE Status</b> | <b>WBE Certifications</b> | <b>Dollar Amount</b> | <b>Percent of Contract Amount</b> |
|  |                   |                           |                      |                                   |
|  |                   |                           |                      |                                   |
|  |                   |                           |                      |                                   |
|  |                   |                           |                      |                                   |
| <b><i>Total WBE Dollar Amount and Percentage</i></b> |                   |                           |                      |                                   |

Use additional pages, if necessary.

\_\_\_\_\_  
Name of Firm

\_\_\_\_\_  
Signature and Date



**HOUSTON**  
HOUSING AUTHORITY

Transforming Lives & Communities

**HOUSTON HOUSING AUTHORITY**  
**SECTION 3 REQUIREMENTS & COMMITMENT**

|   |                        |
|---|------------------------|
| <b>Company Name:</b>                                    |                        |
| <b>Name of Contact Person for Section 3 Commitment:</b> |                        |
| <b>Title:</b>   | <b>Contact Number:</b> |
| <b>Contact Person E-Mail:</b>                           |                        |
| <b>Solicitation Title:</b>                              | <b>Solicitation #:</b> |

**I. Background**

Section 3 of the Housing & Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (hereinafter “Section 3”) requires the Houston Housing Authority (“HHA”), to the greatest extent feasible, to provide employment opportunities to residents of HHA and other low-income individuals, within the City of Houston. These employment opportunities are provided by contracts funded by the HHA. The goal is to utilize HHA’s contracts to promote economic self-sufficiency, among low-income populations.

**II. Solicitation Requirements**

Interested parties responding to a solicitation issued by the HHA are required to include in their submission, this form (Section 3 Requirements & Commitment), which describes the efforts that will be taken to engage Section 3 Participants in employment and training opportunities “to the greatest extent feasible.” While low-income individuals who are not clients of the HHA are eligible candidates for Section 3 opportunities, the HHA expects consideration to be given to individuals who are clients of HHA’s affordable housing programs (public housing & voucher-holders).

**III. Section 3 Expectations**

Below are examples of acceptable Section 3 opportunities that will comply with HHA’s Section 3 requirements:

**1. Preferred Options (All responses to HHA solicitations shall include at least one of these options)**

- Hire low-income participants preferably clients of HHA, and/or
- Provide paid job training/apprenticeship opportunities for low-income participants, preferably clients of HHA.

**2. Secondary Options (Should be considered only when circumstances impact the availability of jobs/training)**

- Subcontract at least 25% of the contract amount to a Section 3 Business which is defined as 51% ownership held by a low-income individual(s), preferably a client of HHA.
- Provide evidence that the company is a Section 3 Certified firm which, demonstrated by the company’s ownership is at least 51% owned by low-income individual(s), preferably a client of HHA.
- In the event employment and training opportunities are not available, a cash contribution shall be provided to HHA’s Self-Sufficiency Fund for support of viable workforce development programs.



#### IV. Section 3 Contract Expectations

Pursuant to Section 3 of the HUD Act of 1968, 12 U.S.C. 1701u, and its implementing regulations, 24 CFR Part 135 (“**Section 3**”), if additional job training, employment and other economic opportunities are generated by a contract administered directly or indirectly by **Houston Housing Authority** then, to the greatest extent feasible, these opportunities must be directed to low-income and very low-income persons. In addition to employment and training opportunities, Section 3 also seeks to benefit businesses owned by public housing residents and other low-income persons. The following provisions of 24 CFR 135.38 shall apply to all contracts involving Section 3 covered work with Houston Housing Authority or its property managers:

- a. The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
- b. The parties to this contract agree to comply with HUD's regulations in 24 CFR part 135, which implement section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the part 135 regulations.
- c. The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.
- d. The contractor agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 CFR part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR part 135.
- e. The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR part 135.
- f. Noncompliance with HUD's regulations in 24 CFR part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.
- g. With respect to work performed in connection with section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this contract that are subject to the provisions of section 3 and section 7(b) agree to comply with section 3 to the maximum extent feasible, but not in derogation of compliance with section 7(b).

**V. Section 3 Commitment**

Identify what efforts will be taken to comply with HHA’s Section 3 Requirements to the greatest extent feasible:

**(Must select at least one option below)**

| PRIMARY OPTIONS  | DESCRIPTION OF EFFORTS “TO THE GREATEST EXTENT FEASIBLE”   |
|--|--|
| <input type="checkbox"/> <b><u>PRIMARY OPTION 1</u></b><br>Hire Section 3 participants to fill employment opportunities listed in the solicitation | Title: _____ # of Positions: _____ Pay _____<br>Duties: _____<br>Qualifications: _____<br>Title: _____ # of Positions: _____ Pay _____<br>Duties: _____<br>Qualifications: _____ |

|  |  |
|--|--|
| <input type="checkbox"/> <b><u>PRIMARY OPTION 2</u></b><br>Provide paid job training/ apprenticeship opportunities to train Section 3 participants | Title: _____ # of Positions: _____ Pay _____<br>Duties: _____<br>Qualifications: _____<br>Title: _____ # of Positions: _____ Pay _____<br>Duties: _____<br>Qualifications: _____ |
|--|--|

| SECONDARY OPTIONS   | DESCRIPTION OF EFFORTS “TO THE GREATEST EXTENT FEASIBLE”  |
|---|---|
| <input type="checkbox"/> <b><u>SECONDARY OPTION 3</u></b><br>Subcontract at least 25 % of the contract to a Section 3 Business (51% ownership held by a low-income individual(s), preferably a HHA client). | <p><b>Provide the name of Section 3 company(ies) awarded subcontracts (totaling at least 25% of the contract amount)</b></p> Company Name _____<br>Contract Amount \$ _____<br>Company _____ Name _____<br>Contract _____ Amount \$ _____<br>Company Name _____<br>Contract Amount \$ _____ |

|   |   |
|---|---|
| <input type="checkbox"/> <b><u>SECONDARY OPTION 4</u></b><br>Demonstrate that the company's ownership (at least 51%) is owned by low-income individual(s), preferably a client of HHA.  | <p><b>The majority of the company (at least 51%) is owned by a low-income individual:</b></p> <p>Owner's _____ Name:<br/>         % of _____ Ownership:</p> <p>Owner's _____ Name:<br/>         % of _____ Ownership:</p>   |
| <input type="checkbox"/> <b><u>SECONDARY OPTION 5</u></b><br>Due to unavailability of jobs/training opportunities, a cash contribution will be provided to a Self-Sufficiency Compliance Fund to support economic self-sufficiency opportunities and/or job training opportunities<br><br><b><u>(NOTE: IF THIS OPTION IS SELECTED, HHA RESERVES THE RIGHT TO WITHHOLD THE CONTRIBUTION AMOUNT FROM PROGRESS PAYMENTS, IF ANY, OR FROM THE TOTAL AMOUNT OWED TO THE CONTRACTOR.)</u></b> | <p><b>If this Secondary Option is selected, the Contractor must explain why job/training opportunities are not available:</b></p> <p>_____</p> <p>_____</p> <hr/> <p><b>Contracts awarded up to \$100,000 shall contribute a minimum of 5% of the total contract amount in to the Section 3 Compliance Fund, while contracts exceeding \$100,000 shall contribute a minimum of 3% of the total contract amount into the Section 3 Compliance Fund. Indicate how much will be contributed:</b></p> <p><input type="checkbox"/> <b>FOR CONTRACTS VAULUED UP TO \$100,000.00:</b> I pledge to contribute _____% of the total contract amount to comply with a minimum of 5% of the contract amount to be contributed into a Self-Sufficiency Compliance Fund maintained by HHA.</p> <p><input type="checkbox"/> <b>FOR CONTRACTS VAULUED IN EXCESS OF \$100,000.00:</b> I pledge to contribute _____% of the total contract amount to comply with a minimum of 3% of the contract amount to be contributed into a Self-Sufficiency Compliance Fund maintained by HHA.</p> <p><b>Indicate how contribution pledge(s) will be fulfilled, during the contract period:</b></p> <p><input type="checkbox"/> Monthly Payments</p> <p><input type="checkbox"/> Quarterly Payments</p> |

I understand the federal regulations governing HHA's Section 3 requirements and commit to honoring the obligations listed in this Section 3 Plan, upon the execution of a contract with the HHA.

\_\_\_\_\_  
 Signature of Owner or Authorized Representative

\_\_\_\_\_  
 Date

**HOUSTON HOUSING AUTHORITY  
SECTION 3 COMPLIANCE REPORT**

This report shall be completed by contractors and/or subcontractors to report completion of tasks that fulfilled Section 3 commitments to hire and/or train low-income individuals, preferably clients of HHA. This report shall be submitted with payment invoice(s) to HHA.

|                           |                            |
|---------------------------|----------------------------|
| Submission Date:          | Reporting Period:          |
| Primary Contractor        | ____ Subcontractor         |
| Company Name:             |                            |
| Person completing invoice |                            |
| Project Name:             | RFP #:                     |
| Amount of Contract        | Amount of Current Invoice: |

| Hiring Commitment    | # Hired this Report Period | YTD Hired during Contract | List Individuals Employed |       |                   |
|----------------------|----------------------------|---------------------------|---------------------------|-------|-------------------|
|                      |                            |                           | Name                      | Title | Gross Salary Paid |
| # participants hired |                            |                           |                           |       |                   |
|                      |                            |                           |                           |       |                   |
|                      |                            |                           |                           |       |                   |
|                      |                            |                           |                           |       |                   |
|                      |                            |                           |                           |       |                   |

| Training Commitment                                      | # Trained this Report Period | YTD Trained during Contract | List Individuals Employed |                |                     |
|--|------------------------------|-----------------------------|---------------------------|----------------|---------------------|
|  |                              |                             | Name                      | Training Title | Stipend Amount Paid |
| # of participants engaged in training/<br>apprenticeship |                              |                             |                           |                |                     |
|  |                              |                             |                           |                |                     |
|  |                              |                             |                           |                |                     |
|  |                              |                             |                           |                |                     |
|  |                              |                             |                           |                |                     |

| Contribution Commitment                     | Amount Pledged | Amount Paid | Pledge Balance |
|---|----------------|-------------|----------------|
| Amount contributed to Self-Sufficiency Fund | \$             | \$          | \$             |

| Section 3 Business Concerns   | Company Name | Contract Amount Provided |
|---|--------------|--------------------------|
| Indicate how at least 25% was subcontracted to Section 3 business(es) |              | \$                       |
|   |              | \$                       |
|   |              | \$                       |

Print Name of Person Completing Report

Title

Signature of Person Completing Report

Date

**CONFLICT OF INTEREST QUESTIONNAIRE**  
**For vendor doing business with local governmental entity**

**FORM CIQ**

**This questionnaire reflects changes made to the law by H.B. 23, 84th Leg., Regular Session.**

This questionnaire is being filed in accordance with Chapter 176, Local Government Code, by a vendor who has a business relationship as defined by Section 176.001(1-a) with a local governmental entity and the vendor meets requirements under Section 176.006(a).

By law this questionnaire must be filed with the records administrator of the local governmental entity not later than the 7th business day after the date the vendor becomes aware of facts that require the statement to be filed. See Section 176.006(a-1), Local Government Code.

A vendor commits an offense if the vendor knowingly violates Section 176.006, Local Government Code. An offense under this section is a misdemeanor.

**OFFICE USE ONLY**

Date Received

**1 Name of vendor who has a business relationship with local governmental entity.**

**2**  **Check this box if you are filing an update to a previously filed questionnaire.** (The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than the 7th business day after the date on which you became aware that the originally filed questionnaire was incomplete or inaccurate.)

**3 Name of local government officer about whom the information is being disclosed.**

\_\_\_\_\_  
Name of Officer

**4 Describe each employment or other business relationship with the local government officer, or a family member of the officer, as described by Section 176.003(a)(2)(A). Also describe any family relationship with the local government officer. Complete subparts A and B for each employment or business relationship described. Attach additional pages to this Form CIQ as necessary.**

A. Is the local government officer or a family member of the officer receiving or likely to receive taxable income, other than investment income, from the vendor?

Yes       No

B. Is the vendor receiving or likely to receive taxable income, other than investment income, from or at the direction of the local government officer or a family member of the officer AND the taxable income is not received from the local governmental entity?

Yes       No



**5** Describe each employment or business relationship that the vendor named in Section 1 maintains with a corporation or other business entity with respect to which the local government officer serves as an officer or director, or holds an ownership interest of one percent or more.

**6**  Check this box if the vendor has given the local government officer or a family member of the officer one or more gifts as described in Section 176.003(a)(2)(B), excluding gifts described in Section 176.003(a-1).

**7**

\_\_\_\_\_

Signature of vendor doing business with the governmental entity \_\_\_\_\_ Date

## **CONFLICT OF INTEREST QUESTIONNAIRE**

### **For vendor doing business with local governmental entity**

A complete copy of Chapter 176 of the Local Government Code may be found at <http://www.statutes.legis.state.tx.us/ Docs/LG/htm/LG.176.htm>. For easy reference, below are some of the sections cited on this form.

**Local Government Code § 176.001(1-a)**: "Business relationship" means a connection between two or more parties based on commercial activity of one of the parties. The term does not include a connection based on:

- (A) a transaction that is subject to rate or fee regulation by a federal, state, or local governmental entity or an agency of a federal, state, or local governmental entity;
- (B) a transaction conducted at a price and subject to terms available to the public; or
- (C) a purchase or lease of goods or services from a person that is chartered by a state or federal agency and that is subject to regular examination by, and reporting to, that agency.

**Local Government Code § 176.003(a)(2)(A) and (B)**:

(a) A local government officer shall file a conflicts disclosure statement with respect to a vendor if:

\*\*\*

(2) the vendor:

(A) has an employment or other business relationship with the local government officer or a family member of the officer that results in the officer or family member receiving taxable income, other than investment income, that exceeds \$2,500 during the 12-month period preceding the date that the officer becomes aware that

(i) a contract between the local governmental entity and vendor has been executed; or

(ii) the local governmental entity is considering entering into a contract with the vendor;

(B) has given to the local government officer or a family member of the officer one or more gifts that have an aggregate value of more than \$100 in the 12-month period preceding the date the officer becomes aware that:

(i) a contract between the local governmental entity and vendor has been executed; or

(ii) the local governmental entity is considering entering into a contract with the vendor.

**Local Government Code § 176.006(a) and (a-1)**

(a) A vendor shall file a completed conflict of interest questionnaire if the vendor has a business relationship with a local governmental entity and:

(1) has an employment or other business relationship with a local government officer of that local governmental entity, or a family member of the officer, described by Section 176.003(a)(2)(A);

(2) has given a local government officer of that local governmental entity, or a family member of the officer, one or more gifts with the aggregate value specified by Section 176.003(a)(2)(B), excluding any gift described by Section 176.003(a-1); or

(3) has a family relationship with a local government officer of that local governmental entity.

(a-1) The completed conflict of interest questionnaire must be filed with the appropriate records administrator not later than the seventh business day after the later of:

(2) the date that the vendor:

(A) begins discussions or negotiations to enter into a contract with the local governmental entity; or

(B) submits to the local governmental entity an application, response to a request for proposal or bids, correspondence, or another writing related to a potential contract with the local governmental entity; or

(3) the date the vendor becomes aware:

(A) of an employment or other business relationship with a local government officer, or a family member of the officer, described by Subsection (a);

(B) that the vendor has given one or more gifts described by Subsection (a); or(C) of a family relationship with a local government officer.

# General Contract Conditions for Small Construction/Development Contracts

## U.S. Department of Housing and Urban Development

Office of Public and Indian Housing  
OMB Approval No. 2577-0157 (exp. 01/31/2014)

**Applicability.** The following contract clauses are applicable and must be inserted into small construction/development contracts greater than \$2,000 but not more than \$100,000.

### 1. Definitions

Terms used in this form are the same as defined in form HUD-5370

### 2. Prohibition Against Liens

The Contractor is prohibited from placing a lien on the PHA's property. This prohibition shall apply to all subcontractors at any tier and all materials suppliers. The only liens on the PHA's property shall be the Declaration of Trust or other liens approved by HUD.

### 3. Disputes

- (a) Except for disputes arising under the **Labor Standards** clauses, all disputes arising under or relating to this contract, including any claims for damages for the alleged breach thereof which are not disposed of by agreement, shall be resolved under this clause.
- (b) All claims by the Contractor shall be made in writing and submitted to the Contracting Officer for a written decision. A claim by the PHA against the Contractor shall be subject to a written decision by the Contracting Officer.
- (c) The Contracting Officer shall, within 30 days after receipt of the request, decide the claim or notify the Contractor of the date by which the decision will be made.
- (d) The Contracting Officer's decision shall be final unless the Contractor (1) appeals in writing to a higher level in the PHA in accordance with the PHA's policy and procedures, (2) refers the appeal to an independent mediator or arbitrator, or (3) files suit in a court of competent jurisdiction. Such appeal must be made within 30 days after receipt of the Contracting Officer's decision.
- (e) The Contractor shall proceed diligently with performance of this contract, pending final resolution of any request for relief, claim, appeal, or action arising under or relating to the contract, and comply with any decision of the Contracting Officer.

### 4. Default

- (a) If the Contractor refuses or fails to prosecute the work, or any separable part thereof, with the diligence that will insure its completion within the time specified in this contract, or any extension thereof, or fails to complete said work within this time, the Contracting Officer may, by written notice to the Contractor, terminate the right to proceed with the work (or separable part of the work) that has been delayed. In the event, the PHA may take over the work and complete it by contract or otherwise, and may take possession of and use any materials, equipment, and plant on the work site necessary for completing the work. The Contractor and its sureties shall be liable for any damage to the PHA resulting from the Contractor's refusal or failure to complete the work within the specified time, whether or not the Contractor's right to proceed with the work is terminated. This liability includes any increased costs incurred by the PHA in completing the work.

~~(b) The Contractor's right to proceed shall not be terminated or the~~

Contractor charged with damages under this clause if –

- (1) The delay in completing the work arises from unforeseeable causes beyond the control and without the fault or negligence of the Contractor; and
  - (2) The Contractor, within 10 days from the beginning of such delay notifies the Contracting Officer in writing of the causes of delay. The Contracting Officer shall ascertain the facts and the extent of the delay. If, in the judgment of the Contracting Officer, the findings of Fact warrant such action, time for completing the work shall be extended by written modification to the contract. The findings of the Contracting Officer shall be reduced to a written decision which shall be subject to the provisions of the **Disputes** clause of this contract.
- (c) If, after termination of the Contractor's right to proceed, it is determined that the Contractor was not in default, or that the delay was excusable, the rights and obligation of the parties will be the same as if the termination had been for convenience of the PHA.

### 5. Termination for Convenience

- (a) The Contracting Officer may terminate this contract in whole, or in part, whenever the Contracting Officer determines that such termination is in the best interest of the PHA. Any such termination shall be effected by delivery to the Contractor of a Notice of Termination specifying the extent to which the performance of the work under the contract is terminated, and the date upon which such termination becomes effective.
- (b) If the performance of the work is terminated, either in whole or in part, the PHA shall be liable to the Contractor for reasonable and proper costs resulting from such termination upon the receipt by the PHA of a properly presented claim setting out in detail: (1) the total cost of the work performed to date of termination less the total amount of contract payments made to the Contractor; (2) the cost (including reasonable profit) of settling and paying claims under subcontracts and material orders for work performed and materials and supplies delivered to the site, payment for which has not been made by the PHA to the Contractor or by the Contractor to the subcontractor or supplier; (3) the cost of preserving and protecting the work already performed until the PHA or assignee takes possession thereof or assumes responsibility therefore; (4) the actual or estimated cost of legal and accounting services reasonably necessary to prepare and present the termination claim to the PHA; and (5) an amount constituting a reasonable profit on the value of the work performed by the Contractor.
- (c) The Contracting Officer will act on the Contractor's claim within days (60 days unless otherwise indicated) of receipt of the Contractor's claim.
- (d) Any disputes with regard to this clause are expressly made subject to the provisions of the Disputes clause of this contract.

### 6. Insurance

- (a) Before commencing work, the Contractor and each subcontractor shall furnish the PHA with certificates of insurance showing the following insurance is in force and will insure all operations under the Contract:

(1) Workers' Compensation, in accordance with state or Territorial Workers' Compensation laws.

(2) Commercial General Liability with a combined single limit for bodily injury and property damage of not less than \$ \_\_\_\_\_ [Contracting Officer insert amount] per occurrence to protect the Contractor and each subcontractor against claims for bodily injury or death and damage to the property of others. This shall cover the use of all equipment, hoists, and vehicles on the site(s) not covered by Automobile Liability under (3) below. If the Contractor has a "claims-made" policy, then the following additional requirements apply: the policy must provide a "retroactive date" which must be on or before the execution date of the Contract; and the extended reporting period may not be less than five years following the completion date of the Contract.

(3) Automobile Liability on owned and non-owned motor vehicles used on the site(s) or in connection therewith for a combined single limit for bodily injury and property damage of not less than \$ \_\_\_\_\_ [Contracting Officer insert amount] per occurrence.

(b) Before commencing work, the Contractor shall furnish the PHA with a certificate of insurance evidencing that Builder's Risk (fire and extended coverage) Insurance on all work in place and/or materials stored at the building site(s), including foundations and building equipment, is in force. The Builder's Risk Insurance shall be for the benefit of the Contractor and the PHA as their interests may appear and each shall be named in the policy or policies as an insured. The Contractor in installing equipment supplied by the PHA shall carry insurance on such equipment from the time the Contractor takes possession thereof until the Contract work is accepted by the PHA. The Builder's Risk Insurance need not be carried on excavations, piers, footings, or foundations until such time as work on the superstructure is started. It need not be carried on landscape work. Policies shall furnish coverage at all times for the full cash value of all completed construction, as well as materials in place and/or stored at the site(s), whether or not partial payment has been made by the PHA. The Contractor may terminate this insurance on buildings as of the date taken over for occupancy by the PHA. The Contractor is not required to carry Builder's Risk Insurance for modernization work which does not involve structural alterations or additions and where the PHA's existing fire and extended coverage policy can be endorsed to include such work.

(c) All insurance shall be carried with companies which are financially responsible and admitted to do business in the State in which the project is located. If any such insurance is due to expire during the construction period, the Contractor (including subcontractors, as applicable) shall not permit the coverage to lapse and shall furnish evidence of coverage to the Contracting Officer. All certificates of insurance, as evidence of coverage, shall provide that no coverage may be canceled or non-renewed by the insurance company until at least 30 days prior written notice has been given to the Contracting Officer.

## 7. Contract Modifications

(a) Only the Contracting Officer has authority to modify any term or condition of this contract. Any contract modification shall be authorized in writing.

(b) The Contracting Officer may modify the contract unilaterally (1) pursuant to a specific authorization stated in a contract clause (e.g., Changes); or (2) for administrative matters which do not change the rights or responsibilities of the parties (e.g.,

change in the PHA address). All other contract modifications shall be in the form of supplemental agreements signed by the Contractor and the Contracting Officer.

(c) When a proposed modification requires the approval of HUD prior to its issuance (e.g., a change order that exceeds the PHA's approved threshold), such modification shall not be effective until the required approval is received by the PHA.

## 8. Changes

(a) The Contracting Officer may, at any time, without notice to the sureties, by written order designated or indicated to be a change order, make changes in the work within the general scope of the contract including changes:

- (1) In the specifications (including drawings and designs);
- (2) In the method or manner of performance of the work;
- (3) PHA-furnished facilities, equipment, materials, services, or site; or,
- (4) Directing the acceleration in the performance of the work.

(b) Any other written order or oral order (which, as used in this paragraph (b), includes direction, instruction, interpretation, or determination) from the Contracting Officer that causes a change shall be treated as a change order under this clause; provided, that the Contractor gives the Contracting Officer written notice stating (1) the date, circumstances and source of the order and (2) that the Contractor regards the order as a change order.

(c) Except as provided in this clause, no order, statement or conduct of the Contracting Officer shall be treated as a change under this clause or entitle the Contractor to an equitable adjustment.

(d) If any change under this clause causes an increase or decrease in the Contractor's cost of, or the time required for the performance of any part of the work under this contract, whether or not changed by any such order, the Contracting Officer shall make an equitable adjustment and modify the contract in writing. However, except for an adjustment based on defective specifications, no proposal for any change under paragraph (b) above shall be allowed for any costs incurred more than 20 days (5 days for oral orders) before the Contractor gives written notice as required. In the case of defective specifications for which the PHA is responsible, the equitable adjustment shall include any increased cost reasonably incurred by the Contractor in attempting to comply with the defective specifications.

(e) The Contractor must assert its right to an adjustment under this clause within 30 days after (1) receipt of a written change order under paragraph (a) of this clause, or (2) the furnishing of a written notice under paragraph (b) of this clause, by submitting a written statement describing the general nature and the amount of the proposal. If the facts justify it, the Contracting Officer may extend the period for submission. The proposal may be included in the notice required under paragraph (b) above. No proposal by the Contractor for an equitable adjustment shall be allowed if asserted after final payment under this contract.

(f) The Contractor's written proposal for equitable adjustment shall be submitted in the form of a lump sum proposal supported with an itemized breakdown of all increases and decreases in the contract in at least the following details:

- (1) Direct Costs. Materials (list individual items, the quantity and unit cost of each, and the aggregate cost); Transportation and delivery costs associated with materials; Labor

breakdowns by hours or unit costs (identified with specific work to be performed); Construction equipment exclusively necessary for the change; Costs of preparation and/ or revision to shop drawings resulting from the change; Worker's Compensation and Public Liability Insurance; Employment taxes under FICA and FUTA; and, Bond Costs - when size of change warrants revision.

- (2) Indirect Costs. Indirect costs may include overhead, general and administrative expenses, and fringe benefits not normally treated as direct costs.
- (3) Profit. The amount of profit shall be negotiated and may vary according to the nature, extent, and complexity of the work required by the change.

The allowability of the direct and indirect costs shall be determined in accordance with the Contract Cost Principles and Procedures for Commercial Firms in Part 31 of the Federal Acquisition Regulation (48 CFR 1-31), as implemented by HUD Handbook 2210.18, in effect on the date of this contract. The Contractor shall not be allowed a profit on the profit received by any subcontractor. Equitable adjustments for deleted work shall include a credit for profit and may include a credit for indirect costs. On proposals covering both increases and decreases in the amount of the contract, the application of indirect costs and profit shall be on the net-change in direct costs for the Contractor or subcontractor performing the work.

- (g) The Contractor shall include in the proposal its request for time extension (if any), and shall include sufficient information and dates to demonstrate whether and to what extent the change will delay the completion of the contract in its entirety.
- (h) The Contracting Officer shall act on proposals within 30 days after their receipt, or notify the Contractor of the date when such action will be taken.
- (i) Failure to reach an agreement on any proposal shall be a dispute under the clause entitled Disputes herein. Nothing in this clause, however, shall excuse the Contractor from proceeding with the contract as changed.
- (j) Except in an emergency endangering life or property, no change shall be made by the Contractor without a prior order from the Contracting Officer.

#### **9. Examination and Retention of Contractor's Records**

The HA, HUD, or Comptroller General of the United States, or any of their duly authorized representatives shall, until three years after final payment under this contract, have access to and the right to examine any of the Contractor's directly pertinent books, documents, papers, or other records involving transactions related to this contract for the purpose of making audit, examination, excerpts, and transcriptions.

#### **10. Rights in Data and Patent Rights (Ownership and Proprietary Interest)**

The HA shall have exclusive ownership of, all proprietary interest in, and the right to full and exclusive possession of all information, materials, and documents discovered or produced by Contractor pursuant to the terms of this Contract, including but not limited to reports, memoranda or letters concerning the research and reporting tasks of this Contract.

#### **11. Energy Efficiency**

The Contractor shall comply with all mandatory standards and policies relating to energy efficiency which are contained in the energy conservation plan issued in compliance with the Energy Policy and Conservation Act (Pub.L. 94-163) for the State in which the work under this contract is performed.

#### **12. Procurement of Recovered Materials**

- (a) In accordance with Section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act, the Contractor shall procure items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR Part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition. The Contractor shall procure items designated in the EPA guidelines that contain the highest percentage of recovered materials practicable unless the Contractor determines that such items: (1) are not reasonably available in a reasonable period of time; (2) fail to meet reasonable performance standards, which shall be determined on the basis of the guidelines of the National Institute of Standards and Technology, if applicable to the item; or (3) are only available at an unreasonable price.
- (b) Paragraph (a) of this clause shall apply to items purchased under this contract where: (1) the Contractor purchases in excess of \$10,000 of the item under this contract; or (2) during the preceding Federal fiscal year, the Contractor: (i) purchased any amount of the items for use under a contract that was funded with Federal appropriations and was with a Federal agency or a State agency or agency of a political subdivision of a State; and (ii) purchased a total of in excess of \$10,000 of the item both under and outside that contract.

#### **13. Training and Employment Opportunities for Residents in the Project Area (Section 3, HUD Act of 1968; 24 CFR 135)**

- (a) The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
- (b) The parties to this contract agree to comply with HUD's regulations in 24 CFR Part 135, which implement section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the Part 135 regulations.
- (c) The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the

qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.

- (d) The contractor agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 CFR Part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR Part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR Part 135.
- (e) The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR Part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR Part 135.
- (f) Noncompliance with HUD's regulations in 24 CFR Part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.

#### 14. Labor Standards - Davis-Bacon and Related Acts

##### (a) Minimum Wages.

(1) All laborers and mechanics employed under this contract in the construction or development of the project(s) involved will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR Part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the Contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under Section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of 29 CFR 5.5(a)(1)(iv); also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the regular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits in the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein; provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under 29 CFR 5.5(a)(1)(ii) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the Contractor and its subcontractors at the site of the work in

a prominent and accessible place where it can be easily seen by the workers.

- (2) (i) Any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. HUD shall approve an additional classification and wage rate and fringe benefits therefor only when all the following criteria have been met:
    - (a) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
    - (b) The classification is utilized in the area by the construction industry; and
    - (c) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
  - (ii) If the Contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and HUD or its designee agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action shall be sent by HUD or its designee to the Administrator of the Wage and Hour Division, Employee Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise HUD or its designee or will notify HUD or its designee within the 30-day period that additional time is necessary.
  - (iii) In the event the Contractor, the laborers or mechanics to be employed in the classification or their representatives, and HUD or its designee do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), HUD or its designee shall refer the questions, including the views of all interested parties and the recommendation of HUD or its designee, to the Administrator of the Wage and Hour Division for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise HUD or its designee or will notify HUD or its designee within the 30-day period that additional time is necessary.
  - (iv) The wage rate (including fringe benefits where appropriate) determined pursuant to subparagraphs (a)(2)(ii) or (iii) of this clause shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
- (3) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the Contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- (4) If the Contractor does not make payments to a trustee or other third person, the Contractor may consider as part

of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program; *provided*, that the Secretary of Labor has found, upon the written request of the Contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the Contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

- (b) **Withholding of Funds.** HUD or its designee shall, upon its own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the Contractor under this contract or any other Federal contract with the same prime Contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime Contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the Contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working in the construction or development of the project, all or part of the wages required by the contract, HUD or its designee may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased. HUD or its designee may, after written notice to the Contractor, disburse such amounts withheld for and on account of the Contractor or subcontractor to the respective employees to whom they are due.

(c) **Payrolls and Basic Records.**

- (1) Payrolls and basic records relating thereto shall be maintained by the Contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working in the construction or development of the project. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made, and actual wages paid. Whenever the Secretary of Labor has found, under 29 CFR 5.5(a)(1)(iv), that the wages of any laborer or mechanic include the amount of costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the Contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of

the registration of apprenticeship programs and certification

of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

- (2) (i) The Contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the Contracting Officer for transmission to HUD or its designee. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under subparagraph (c)(1) of this clause. This information may be submitted in any form desired. Optional Form WH-347 (Federal Stock Number 029-005-00014-1) is available for this purpose and may be purchased from the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402. The prime Contractor is responsible for the submission of copies of payrolls by all subcontractors. (Approved by the Office of Management and Budget under OMB Control Number 1214-0149.)
- (ii) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
- (A) That the payroll for the payroll period contains the information required to be maintained under paragraph (c)(1) of this clause and that such information is correct and complete;
- (B) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in 29 CFR Part 3; and
- (C) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- (iii) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirements for submission of the "Statement of Compliance" required by subparagraph (c)(2)(ii) of this clause.
- (iv) The falsification of any of the above certifications may subject the Contractor or subcontractor to civil or criminal prosecution under Section 1001 of Title 18 and Section 3729 of Title 31 of the United States Code.
- (3) The Contractor or subcontractor shall make the records required under subparagraph (c)(1) available for inspection, copying, or transcription by authorized representatives of HUD or its designee, the Contracting Officer, or the Department of Labor and shall permit such representatives to interview employees during working hours on the job. If the Contractor or subcontractor fails to submit the required records or to make them available, HUD or its designee may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.



(d) **Apprentices.** Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services (OATELS), or with a State Apprenticeship Agency recognized by OATELS, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by OATELS or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice.

The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the Contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in this paragraph, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the Contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator of the Wage and Hour Division determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event OATELS, or a State Apprenticeship Agency recognized by OATELS, withdraws approval of an apprenticeship program, the Contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(e) **Trainees.** Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate

specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed in the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate in the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate in the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate in the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the Contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(f) **Equal Employment Opportunity.** The utilization of apprentices, trainees, and journeymen under this clause shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR Part 30.

(g) **Compliance with Copeland Act Requirements.** The Contractor shall comply with the requirements of 29 CFR Part 3, which are hereby incorporated by reference in this contract.

(h) **Contract Termination; Debarment.** A breach of the labor standards clauses in this contract may be grounds for termination of the contract and for debarment as a Contractor and a subcontractor as provided in 29 CFR 5.12.

(i) **Compliance with Davis-Bacon and related Act Requirements.** All rulings and interpretations of the Davis-Bacon and related Acts contained in 29 CFR Parts 1, 3, and 5 are herein incorporated by reference in this contract.

(j) **Disputes Concerning Labor Standards.** Disputes arising out of the labor standards provisions of this clause shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR Parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the Contractor (or any of its subcontractors) and the PHA, HUD, the U.S. Department of Labor, or the employees or their representatives.

(k) **Certification of Eligibility.**

- (1) By entering into this contract, the Contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the Contractor's firm is a person or firm ineligible to be awarded contracts by the United States Government by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- (2) No part of this contract shall be subcontracted to any person or firm ineligible for award of a United States Government

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contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(3) The penalty for making false statements is prescribed in the U. S. Criminal Code, 18 U.S.C. 1001.

**(l) Subcontracts.** The Contractor or subcontractor shall insert in any subcontracts all the provisions contained in this clause, and such other clauses as HUD or its designee may by appropriate instructions require, and also a clause requiring the subcontractors to include these provisions in any lower tier subcontracts. The prime Contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all these provisions.

**(m) Non-Federal Prevailing Wage Rates.** Any prevailing wage rate (including basic hourly rate and any fringe benefits), determined under State law to be prevailing, with respect to any employee in any trade or position employed under the contract, is inapplicable to the contract and shall not be enforced against the Contractor or any subcontractor, with respect to employees engaged under the contract whenever such non-Federal prevailing wage rate exceeds:

- (i) the applicable wage rate determined by the Secretary of Labor pursuant to the Davis-Bacon Act (40 U.S.C. 3141 et seq.) to be prevailing in the locality with respect to such trade;
- (ii) an applicable apprentice wage rate based thereon specified in an apprenticeship program registered with the U.S. Department of Labor (DOL) or a DOL-recognized State Apprenticeship Agency; or
- (iii) an applicable trainee wage rate based thereon specified in a DOL-certified trainee program.

# DAVIS-BACON WAGE ACKNOWLEDGEMENT FORM

I hereby acknowledge that I have received information regarding Davis Bacon will abide by all the regulations regarding both.

In addition, I hereby acknowledge receipt of the following:

- Wage Decision TX20230067 01/27/2023
- Certified Payroll Form

Finally, I hereby elect the following regarding the above referenced quote:

The bid submitted will not change due to Davis Bacon

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Company: \_\_\_\_\_

Date: \_\_\_\_\_



## FEE SCHEDULE

The undersigned agrees to provide all of the necessary labor, personnel, transportation, material, supplies, equipment, insurance and any other items/resources necessary for completing the contract/job.

| Itemized Cost                 | Bid Amount |
|-------------------------------|------------|
| LABOR                         |            |
| MATERIAL/SUPPLIES             |            |
| OVERHEAD                      |            |
| PROFIT                        |            |
| TOTAL COST                    |            |
| Per Unit Cost (if applicable) |            |

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Name of Contractor/Offeror/Firm/Individual/Corporation

---

Name of Authorized Agent

---

Title of Authorized Agent

---

Signature of Authorized Agent

---

Email Address

---

Date

# REFERENCES

Contact Name: - - - - -

Company Name : - - - - -

Address: - - - - -

City, State, Zip: - - - - -

Phone: - - - - -

Email: \_ \_ \_ \_ \_

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Contact Name: - - - - -

Company Name: - - - - -

Address: - - - - -

City, State, Zip: - - - - -

Phone: - - - - -

Email: \_ \_ \_ \_ \_

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Contact Name:- - - - -

Company Name:- - - - -

Address: - - - - -

City, State, Zip: - - - - -

Phone: - - - - -

Email: - - - - -

Insurance for this project should meet the below minimum amounts

**General Liability**

Each \$1,000,000

Occurrence

Personal &amp; \$1,000,000

Advertising Injury

Aggregate \$2,000,000

Products- \$1,000,000

completed

Operations

**Excess Liability**

Each \$2,000,000

Occurrence

Aggregate \$2,000,000

**Auto Liability**

Combined \$1,000,000

Single Limit

**OR**

Bodily Injury Per \$500,000

Person

Bodily Injury \$500,000

Per Accident

Property Damage Per Accident

**Workers' Compensation/Employers' Liability**

Statutory limits Y

EL EachAccident \$500,000

EL Disease-Each \$500,000

Employee

EL Disease-Policy Limit

**Additional Insured Wording**

Each supplier will name the PMC and ownership entity and advisors as additional insureds on their liability policies. The following wording should be included on their certificate of insurance:

***The Description Box must include the Houston Housing Authority, the PMC, its affiliates, and the ownership entity and advisors are included as additional insureds on the general liability and automobile policies as their interests may appear in regard to work performed or services provided by the named insured. Such insurance is primary and non-contributory with any other insurance available to the additional insureds. Excess policy to follow form (if applicable). Note that the Certificate Holder Box must list the Houston Housing***

***Authority, the PMC and the ownership entity names as those being notices of any changes in coverage with a minimum of 30 days notice***

# VENDOR PROFILE FORM

*For HHA Use Only*

Vendor Number

## Instructions:

- 1) Complete both pages (2) of this form electronically.
- 2) Print
- 3) Sign on page 2.
- 4) Attach a completed W-9.
- 5) Scan all documents and email to [Purchasing@housingforhouston.com](mailto:Purchasing@housingforhouston.com). See Page 2 for other submission options.

## GENERAL BUSINESS INFORMATION

\_\_\_\_\_  
Name of Business, Organization, or Name of Person (if payment is to an individual)

\_\_\_\_\_  
Mailing Address for Payments

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip

\_\_\_\_\_  
Telephone No.

\_\_\_\_\_  
Fax No. (mandatory)

\_\_\_\_\_  
Toll Free No.

\_\_\_\_\_  
E-Mail Address (mandatory)

\_\_\_\_\_  
How Long in Business

\_\_\_\_\_  
Federal Employment Identification No.

\_\_\_\_\_  
Business SIC Code

Type of  Corporation

Partnership

Limited Partnership

\_\_\_\_\_  
President/General Manager

Ownership:  Sole Proprietorship

Joint Proprietorship

Number of Employees: Regular (Full Time) \_\_\_\_\_

Part-Time \_\_\_\_\_

### Account Contacts

Account Rep: \_\_\_\_\_

Phone \_\_\_\_\_

E-Mail \_\_\_\_\_

Invoice Matters: \_\_\_\_\_

Phone \_\_\_\_\_

E-Mail \_\_\_\_\_

**Status (check all that apply)**



If Minority,  Black American  Hispanic American  Native American  
What Status  American Asian Pacific  American Hasidic Jew   
Asian Indian  MBE Certified Small  Business Women-  Owned Business

**Note: A completed W-9 must accompany this Form when you submit it to HHA.**



Individuals with disabilities may contact the 504/ADA Administrator at 713-260-0353, TTY 713-260-0547 or 504ADA@housingforhouston.com

Rev. 11.14



**Houston Housing Authority**

2640 Fountain View Dr. | Houston, Texas 77057 | Phone: 713.260.0500 | TTY: 713.260.0547 | [www.housingforhouston.com](http://www.housingforhouston.com)

**Please use this space to list the product(s) or service(s) your company offers. Please attach additional pages if necessary.**

**SIGN BELOW**

\_\_\_\_\_  
Signature of Authorized Representative of Vendor \_\_\_\_\_  
Date

**The applicable terms and conditions that are referenced on HHA's purchase orders can be found at:**

HHA prefers that vendors return this form via email to [Purchasing@housingforhouston.com](mailto:Purchasing@housingforhouston.com). However, you may also return the form via the following methods:

**Delivery**

Houston Housing Authority  
Procurement Department  
2640 Fountain View Dr., Suite 408 Houston,  
TX 77057

**Fax**

(713) 260-0810

If you have questions, you may contact the Procurement Department at [Purchasing@housingforhouston.com](mailto:Purchasing@housingforhouston.com).



Individuals with disabilities may contact the 504/ADA Administrator at 713-260-0353, TTY



"General Decision Number: TX20230067 01/27/2023

Superseded General Decision Number: TX20220067

State: Texas

Construction Type: Residential

County: Harris County in Texas.

Note: Contracts subject to the Davis-Bacon Act are generally required to pay at least the applicable minimum wage rate required under Executive Order 14026 or Executive Order 13658. Please note that these Executive Orders apply to covered contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but do not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(2)-(60).

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|                                |                               |  |
|--------------------------------|-------------------------------|--|
| If the contract is entered     | . Executive Order 14026       |  |
| into on or after January 30,   | generally applies to the      |  |
| 2022, or the contract is       | contract.                     |  |
| renewed or extended (e.g., an  | . The contractor must pay     |  |
| option is exercised) on or     | all covered workers at        |  |
| after January 30, 2022:        | least \$16.20 per hour (or    |  |
|                                | the applicable wage rate      |  |
|                                | listed on this wage           |  |
|                                | determination, if it is       |  |
|                                | higher) for all hours         |  |
|                                | spent performing on the       |  |
|                                | contract in 2023.             |  |
| _____                          | _____                         |  |
| If the contract was awarded on | . Executive Order 13658       |  |
| or between January 1, 2015 and | generally applies to the      |  |
| January 29, 2022, and the      | contract.                     |  |
| contract is not renewed or     | . The contractor must pay all |  |
| extended on or after January   | covered workers at least      |  |
| 30, 2022:                      | \$12.15 per hour (or the      |  |

|  |                             |
|--|-----------------------------|
|  | applicable wage rate listed |
|  | on this wage determination, |
|  | if it is higher) for all    |
|  | hours spent performing on   |
|  | that contract in 2023.      |
|  |                             |

The applicable Executive Order minimum wage rate will be adjusted annually. If this contract is covered by one of the Executive Orders and a classification considered necessary for performance of work on the contract does not appear on this wage determination, the contractor must still submit a conformance request.

Additional information on contractor requirements and worker protections under the Executive Orders is available at <http://www.dol.gov/whd/govcontracts>.

| Modification Number | Publication Date |
|---------------------|------------------|
| 0                   | 01/06/2023       |
| 1                   | 01/13/2023       |
| 2                   | 01/27/2023       |

ELEV0031-002 01/01/2023

|                        | Rates    | Fringes    |
|------------------------|----------|------------|
| ELEVATOR MECHANIC..... | \$ 49.15 | 37.335+a+b |

Footnotes:

A. 6% under 5 years based on regular hourly rate for all hours worked. 8% over 5 years based on regular hourly rate for all hours worked.

B. Holidays: New Year's Day, Memorial Day, Independence Day, Labor Day, Veterans' Day, Thanksgiving Day, the Friday after Thanksgiving Day, and Christmas Day.

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\* SFTX0669-001 01/01/2023

|  | Rates    | Fringes |
|--|----------|---------|
| SPRINKLER FITTER (Fire<br>Sprinklers)..... | \$ 33.11 | 23.30   |

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SHEE0054-008 04/01/2020

|   | Rates    | Fringes |
|---|----------|---------|
| Sheetmetal Worker (Excluding<br>HVAC Work)..... | \$ 29.70 | 13.85   |

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\* SUTX2005-030 12/15/2005

|   | Rates       | Fringes |
|---|-------------|---------|
| Bricklayer.....   | \$ 15.00 ** |         |
| Plasterer.....  | \$ 16.60    |         |
| Tile setter.....  | \$ 14.08 ** |         |
| Acoustical Ceiling Installer.....   | \$ 12.50 ** |         |
| Carpenter (Includes<br>Batt/Blown Insulation,<br>Formwork & Overhead Door<br>Installation)..... | \$ 12.06 ** | 1.41    |
| Carport Structural Supports<br>Installer.....   | \$ 11.46 ** | 2.61    |
| Cement Manson/Concrete<br>Finisher.....   | \$ 13.68 ** | .75     |
| Drywall Finishers.....  | \$ 12.00 ** |         |
| Drywall Hanger.....   | \$ 12.71 ** |         |

|   |             |  |      |
|---|-------------|--|------|
| Electrician(Incl. Low Voltage<br>Wiring and Installation of<br>Alarms)..... | \$ 17.05    |  | 6.32 |
| Gutter and Siding Installer.....  | \$ 18.59    |  | 1.41 |
| HVAC MECHANIC (Pipe & System<br>Installation Only).....                     | \$ 12.82 ** |  |      |
| Ironworker  |             |  |      |
| Ornamental.....   | \$ 16.29    |  |      |
| Reinforcing.....  | \$ 11.25 ** |  | 2.35 |
| Structural.....   | \$ 17.21    |  |      |
| Laborers:   |             |  |      |
| Common.....   | \$ 8.88 **  |  | 1.10 |
| Landscape and Irrigation....  | \$ 9.02 **  |  |      |
| Mason Tender Brick.....   | \$ 9.97 **  |  | .68  |
| Mason Tender Cement.....  | \$ 9.46 **  |  | .68  |
| Pipelayer.....  | \$ 10.06 ** |  |      |
| Plaster Tender.....   | \$ 11.10 ** |  |      |
| Painter:  |             |  |      |
| Brush, Roller, and Spray....  | \$ 11.41 ** |  |      |
| Parking Lot Striping.....   | \$ 14.21 ** |  |      |
| Plumbers (Excluding HVAC Pipe)...   | \$ 17.96    |  | 1.15 |
| Power Equipment Operator  |             |  |      |
| Backhoe.....  | \$ 13.55 ** |  | .69  |
| Bulldozer.....  | \$ 12.67 ** |  | .69  |
| Forklift.....   | \$ 12.54 ** |  |      |
| Front End Loader.....   | \$ 12.00 ** |  | .69  |
| Grader.....   | \$ 13.67 ** |  |      |
| Roofers.....  | \$ 10.00 ** |  |      |
| Sheet Metal Worker (HVAC Duct<br>Only).....                                 | \$ 12.76 ** |  | 1.95 |

Soft Floor Layers, Carpet and  
Vinyl.....\$ 14.50 \*\*

Truck drivers.....\$ 13.43 \*\*

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WELDERS - Receive rate prescribed for craft performing  
operation to which welding is incidental.

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\*\* Workers in this classification may be entitled to a higher  
minimum wage under Executive Order 14026 (\$16.20) or 13658  
(\$12.15). Please see the Note at the top of the wage  
determination for more information.

Note: Executive Order (EO) 13706, Establishing Paid Sick Leave  
for Federal Contractors applies to all contracts subject to the  
Davis-Bacon Act for which the contract is awarded (and any  
solicitation was issued) on or after January 1, 2017. If this  
contract is covered by the EO, the contractor must provide  
employees with 1 hour of paid sick leave for every 30 hours  
they work, up to 56 hours of paid sick leave each year.  
Employees must be permitted to use paid sick leave for their  
own illness, injury or other health-related needs, including  
preventive care; to assist a family member (or person who is  
like family to the employee) who is ill, injured, or has other  
health-related needs, including preventive care; or for reasons  
resulting from, or to assist a family member (or person who is  
like family to the employee) who is a victim of, domestic  
violence, sexual assault, or stalking. Additional information  
on contractor requirements and worker protections under the EO  
is available at  
<https://www.dol.gov/agencies/whd/government-contracts>.

Unlisted classifications needed for work not included within  
the scope of the classifications listed may be added after  
award only as provided in the labor standards contract clauses  
(29CFR 5.5 (a) (1) (ii)).

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The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of ""identifiers"" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

#### Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than ""SU"" or ""UAVG"" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

#### Survey Rate Identifiers

Classifications listed under the ""SU"" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that



classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

#### Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

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#### WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- \* an existing published wage determination
- \* a survey underlying a wage determination
- \* a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour National Office because National Office has responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations  
Wage and Hour Division  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative

Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

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END OF GENERAL DECISIO"